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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
(Case No. 01-1720)

In re Application of:  
Asp, et al.

Serial No.: 09/993,211

Filed: November 16, 2001

For: Method for the Treatment of  
Cardiotoxicity Induced by Antitumor  
Compounds

Examiner: TBA

Art Unit: 1614

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TECH CENTER 1600/2900

TRANSMITTAL LETTER

Asst. Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

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Technology Center 2100

In regard to the above identified application,

1. We are transmitting herewith the attached:

- a) Information Disclosure Statement;
- b) PTO Form 1449 and three cited references;
- c) Return postcard

2. With respect to fees:

- a) It is believed no fee is due at this time.
- b) Please charge any underpayment or credit any overpayment our Deposit Account, No. 13-2490.

3. GENERAL AUTHORIZATION: Please charge any additional fees or credit overpayment to Deposit Account No. 13-2490. A duplicate copy of this sheet is enclosed.

4. CERTIFICATE OF MAILING UNDER 37 CFR § 1.8: The undersigned hereby certifies that this Transmittal Letter and the paper, as described in paragraph 1, are being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to the Asst. Commissioner for Patents, Washington, D.C. 20231 on February 13, 2002.

Respectfully submitted,

Lisa M.W. Hillman

Registration No. 43,673

Date: February 13, 2002



**UNITED STATES PATENT AND TRADEMARK OFFICE**  
(Case No. 01-1720)

## PATENT

In the Application of:

Asp, et al.

Serial No.: 09/993,211

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**For: Method for the Treatment of  
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Examiner: To be assigned

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## INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

Pursuant to the duty of disclosure provided by 35 C.F.R. § 1.56 and §§ 1.97-98, the applicants wish to make the following references of record in the above-identified application. Copies of the references are enclosed. Copies are also listed in the PTO-1449 form enclosed herewith. It is requested that the documents be given careful consideration and that they be cited of record in the prosecution history of the present application so that they will appear on the face of the patent issuing from the present application.

In the judgment of the undersigned, portions of the references may be material to the examination of the pending claims, however no such admission is intended. 37 C.F.R. 1.97 (h). The references have not been reviewed in sufficient detail to make any

other representation and, in particular, no representation is indented as to the relative importance of any portion of the references. This Statement is not a representation that the cited references have effective dates early enough to be "prior art" within the meaning of 35 U.S.C. sections 102 or 103.

### **CITED REFERENCES**

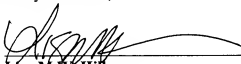
#### **U.S. Patent Documents**

<b><u>Document Number</u></b>	<b><u>Date</u></b>	<b><u>Name</u></b>	<b><u>Class</u></b>	<b><u>Filing Date If appropriate</u></b>
4,275,063	06/23/81	Creighton	424	02/14/77
5,242,901	09/07/93	Speyer, et al.	514	03/27/92
5,744,455	04/28/98	Speyer, et al.	514	09/03/93

Respectfully submitted,

Date: February 13, 2002

by:

  
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Lisa M.W. Hillman  
Reg. No. 43,673

